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# IAP6 Rec'd PCT/PTO 05 JUN 2006

Rractitioner's Docket No. <u>U 015739-4</u> **PATENT** IN THE UNITED STATES PATENT AND TRADEMARK OFFICE tent application Inventor(s) Title of invention OR Konstantin Aleksandrovich SHESTIBRATOV, et al In re application of: Group No.: - -Serial No.: 10/531,769 Examiner: - -Filed: April 19, 2005 METHOD FRO PRODUCING A TRANSGENIC PLANT WITH THE For: AID OF AGROBACTERIUM TUMEFACIENS **Commissioner for Patents** P. O. Box 1450 Alexandria, VA 22313-1450 TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))** CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\* (When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.) I hereby certify that, on the date shown below, this correspondence is being: **MAILING** deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450. 37 C.F.R. 1.10\* 37 C.F.R. 1.8(a) as "Express Mail Post Office to Addressee" with sufficient postage as first class mail. Mailing Label No. (mandatory) TRANSMISSION transmitted by facsimile to the Patent and Trademark Office. to (571)-273-8300

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: June 1, 2006

Signature

JANET LCORD

(type or print name of person certifying)

NOTE: 37 C.F.R. 1.98(b): (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date. (2) Each U.S. patent application published listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date. (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application. (5) Each publication listed in an information disclosure statement must be identified by publisher, author (fi any), title, relevant pages of the publication,,date, and place of publication.

WARNING:

No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

# IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

If a fee is required, please charge deposit account 12-0425.

SIGNATURE OF PRACTITIONER

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JANET I. CORD

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry LLP 26 West 61<sup>st</sup> Street New York, N.Y. 10023

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Konstantin Aleksandrovich SHESTIBRATOV, et a
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Serial No.: 10/531,769 Group No.:

Filed: April 19, 2005 Examiner:

METHOD FOR PRODUCING A TRANSGENIC PLANT WITH THE AID OF For:

AGROBACTERIUM TUMEFACIENS

Attorney Docket No.: U 015739-4

Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the attached English-language version of an Action or International-type Search Report from a foreign office in respect of counterpart PCT/RU2003/000439 that indicates the degree of relevance found by the foreign office. The Action or Search Report makes consideration of any non-English art required. MPEP 609.

#### CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

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Date: <u>June 1, 2006</u>			net I. Cord				
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*WARN	VING:	Each paper or fee filed by "Express Months placed thereon prior to mailing, 37 C.)		e the number of the "Express h	Mail" mailing label		

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

We also draw the atteniton of the Examiner to the attached references which are considered in the specification.

Form PTO-1449 is also attached with reference copies.

Respectfully submitted,

JANET I CORD

C/O LADAS AND PARRY LLP 26 WEST 61<sup>ST</sup> STREET NEW YORK, NY 10023

REG. NO. 33,778; (212) 708-1935

FORM PTO-1449

## U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO. 10/531,769 U 015739-4

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Konstantin Aleksandrovich SHESTIBRATOV et al. **FILING DATE GROUP** 

**APPLICANT** 

**DECEMBER 14, 2005** FOREIGN PATENT DOCUMENTS **TRANSLATION DOCUMENT** NUMBER DATE COUNTRY YES NO 97109836 05/1999 RU Х AA OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.) AB Partial English Translation of RU 97109836 dated May 10, 1999 Morozova T. "Genetic Stability of Pure Lines of Fragaria Vesca L. in Micropropagation and Long-Term Storage in Vitro." ISHS Acta Horticulturae 567: IV International Strawberry Symposium AC Mussinan C., et al. "Organic Acids and Fresh California Strawberries." J. Agric. Food Chem. (1975) Vol. 23, No. 3, pp 482-484 AD Murashige, T., et al. "A Revised Medium for Rapid Growth and Bio Assays with Tobacco Tissue Cultures." Physiologia Plantarum (1962) Vol. 15, pp 472-496 ΑE Olhoft, P.M., et al. "The Role of Thiol Compounds in Increasing Agrobacterium-Mediated Transformation of Soybean Cotyledonary-Node Cells." Plant Cell Reports (2001) Vol. 20, pp 731-737 ΑF Perl, A., et al. "Establishment of an Agrobacterium-Mediated Transformation System for Grape (Vitis vinifera L.): The Role of Antioxidants during Grape-Agrobacterium Interactions." AG Nature Biotechnology (1996) Vol. 14, pp 624-628 Roberts., W., et al. "Zeamatin, an Antifungal Protein from Maize with Membrane-Permeabilizing Activity." Journal of General Microbiology (1990) Vol. 136, pp 1771-1778 AΗ Rogers., S., et al. "Extraction of Total Cellular DNA from Plants, Algae and Fungi." Plant Molecular Biology Manual D1 (1994) p 1-8 ΑI Schestibratov, K., et al. "Plant Regeneration from Excised Cotyledons of Pinus Radiata." European Tissue Culture Society (2001) 43rd International Meeting, PW3-09 AJ Schestibratov, K., et al. "Molecular Breeding of Strawberry cv Firework for Enhanced Disease ΑK Resistance and Taste Improvement by Introduction of thauII and rs-afp3 Genes." Agricultural Biotechnology International Conference ABIC (2002) Stintzi, A., et al. "Plant 'pathogenesis-related' Proteins and Their Role in Defense Against Pathogens." Biochimie (1993) Vol. 75, pp 687-706 AL Sutter, E., et al. "Direct Regeneration of Strawberry (Fragaria X Ananassa DUCH.) from Leaf Disks." Hort. Biotech. In Vitro Cult. and Breeding (1997) pp 243-245 AM Szwacka, M., et al. "Variable Properties of Transgenic Cucumber Plants Containing the Thaumatin II Gene from Thaumatococcus daniellii." AN ACTA Physiologiae Plantarum (2002) Vol. 24, No. 2, pp 173-185 Dreipera, D. et al. "Direct Regeneration of Transformed Plants: Transformation of Tobacco Leaf Disks" Plant Genetic Transformation and Gene Expression. A Laboratory Manual "Mir" (1991) pp ΑO VINITI Database. Revenkova, E.V. et al. "Development of a New vector System Based on AP Agrobacterium Tumefaciens A281 Strain" Genetic Engineering of Plants (1994) Abstract DATE CONSIDERED **EXAMINER** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not **EXAMINER:** 

in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO.
U 015739-4	10/531,769
API	PLICANT
Konstantin Aleksandro	vich SHESTIBRATOV et al.
FILING DATE	GROUP
DECEMBER 14, 2005	

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EXAMINER	REFERENCE	DOCUMENT	The state of the s	T	EIL DIG D	ATEIE	
INITIALS	DESIGNATION	NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE		
	AA	6,274,791	08/2001	Dhir et al.			
	AB	5,750,870	05/1998	Mathews et al.			
_	AC	6,162,965	12/2000	Hansen			
	AD	5,856,154	01/1999	Ryals et al.			
	AE	4,801,545	01/1989	Stuart et al.			
	AF	4,891,316	01/1990	Verrips et al.			
	AG	2002/0004944	01/2002	Baker et al.			
		FOREIG	N PATENT DOCUM	ENTS	<del>-</del>		
_		D0CUMENT			TRANSL	ATION	
		NUMBER	DATE	COUNTRY	YES	NO	
	АН	0 307 841	03/1989	EP			
	ОТ	HER ART (Includ	ing Author, Title, Date	e, Pertinent Dates, Etc.)			
	AI	Nehra, N., et al. "Genetic Transformation of Strawberry by Agrobacterium Tumefaciens Using a Le. Disk Regeneration System." <i>Planet Cell Reports</i> (1990) Vol. 9, pp 293-298					
	AJ	James, D., et al. "Agrobacterium-Mediated Transformation of the Cultivated Strawberry (Fragari Anannassa Duch.) Using Disarmed Binary Vectors." Plant Science (1990) Vol. 69, pp 79-94  Abad, L., et al. "Antifunal Activity of Tobacco Osmotin Has Specificity and Involves Plasma Membrane Permeabilization." Plant Science (1996) Vol. 118, pp 11-23  de Mesa, M., et al. "Agrobacterium Cells as Microprojectile Coating: A Novel Approach to Enha Stable Transformation Rates in Strawberry." Aus. J. Plant Physio. (2000) Vol. 27, pp 1093-1100  Dolgov, S.V., et al. "Expression of Thaumatin II Gene in Horticultural Crops."  Genetics and Breeding for Crop Quality and Resistance (1999) pp 165-172  du Plessis, H., et al. "Efficient Genetic Transformation of Strawberry (Fragaria x Ananassa Duch Cultivar Selekta." Hort. Biotech. In Vitro Cult. and Breeding (1997) pp 289-293					
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	AO	Edens, L., et al. "Clon Expression in Escheric		g the Sweet-Tasting Plant Protein 2) Vol. 18, p 1-12	n Thaumatin ar	nd its	
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	AQ_	Plant Cell Reports (1999) Vol. 19, pp 51-58  Linthorst, H., et al. "Constitutive Expression of Pathogenesis-Related Proteins PR-1, GRP, and PR-S in Tobacco Has No Effect on Virus Infection." The Plant Cell (1989) Vol. 1, pp 285-291					
	AR	Maniatis, T., et al. "Molecular Cloning: A Laboratory Manual"  Cold Spring Harbor Laboratory (1982) pp 1.19 - 1.29					
	AS	Marcotrigiano M., et al. "Histogenic Instability in Tissue Culture-Proliferated Strawberry Plants."  J. Amer. Soc. Hort. Sci. (1987) Vol. 112, No. 3, pp 583-587					
EXAMINER			DATE	CONSIDERED			
EXAMINER:		Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					